

# Prehomogeneous zeta functions and toric periods for inner forms of $GL(2)$

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## Periods of automorphic representations (1/2)

- $G$  : reductive algebraic group over a number field  $F$
- $\pi$  : automorphic representation of  $G(\mathbb{A}_F)$
- $H$  : subgroup of  $G$

We say that  $\pi$  is  $H$ -distinguished if

$$\exists \phi \in \pi \quad \text{s.t.} \quad \mathcal{P}_H(\phi) := \int_{H(F) \backslash H(\mathbb{A}_F)} \phi(h) dh \neq 0.$$

Haar measure  $\nearrow$

This integral is called a period with respect to  $H$ .

## Periods of automorphic representations (2/2)

Periods are closely related to analytic properties of automorphic  $L$ -functions of  $\pi$ .

In particular, there are many examples like

- $\exists$  non-vanishing periods  $\Rightarrow L(1/2, \pi) \neq 0$
- $\exists$  non-vanishing periods  $\Leftrightarrow L(s, \pi)$  has a pole

## Toric periods (1/2)

- $E/F$  : quadratic extension of number fields
- $D$  : quaternion algebra over  $F$  s.t.  $E \hookrightarrow D$
- $\pi$  : cuspidal automorphic representation of  $D_{\mathbb{A}_F}^\times$

We say that  $\pi$  is  $E^\times$ -distinguished if

$$\exists \phi \in \pi \quad \text{s.t.} \quad \mathcal{P}_E(\phi) := \int_{E^\times \mathbb{A}_F^\times \backslash \mathbb{A}_E^\times} \phi(h) dh \neq 0.$$

This integral is called a toric period with respect to  $E$ .

## Toric periods (2/2)

- $\chi_E : \mathbb{A}_F^\times / F^\times \rightarrow \{\pm 1\}$  : **the unique quadratic character**  
s.t.  $\text{Ker}(\chi_E) = N_{E/F}(\mathbb{A}_E^\times)$ .

**Then,**

$$\begin{array}{l} \pi : E^\times\text{-distinguished} \\ \& \dim \pi \neq 1 \end{array} \quad \Rightarrow \quad L(1/2, \pi \otimes \chi_E) \neq 0.$$

**This is a result of Waldspurger.**

## Main result

- $S$  : finite set of places of  $F$
- $\pi$  : irreducible cuspidal automorphic representation of  $D_{\mathbb{A}}^{\times}$  s.t.  $\dim \pi \neq 1$

### Theorem

Suppose we have  $L(1/2, \pi) \neq 0$ .

Then  $\exists \mathcal{E}_v$  : quadratic semi-simple algebra over  $F_v$  for  $v \in S$

$$\text{s.t. } \# \left\{ E/F : \text{quad. ext.} \left| \begin{array}{l} (1) E \xrightarrow{\exists} D \\ (2) E_v = \mathcal{E}_v \quad \forall v \in S \\ (3) \pi \text{ is } E^{\times}\text{-distinguished} \end{array} \right. \right\} = \infty$$

**The main result is motivated by the remarkable results of Waldspurger in 1980's about:**

- **Shimura correspondence in the framework of automorphic representations of  $\mathrm{Mp}_2$  ;**
- **non-vanishing of central  $L$ -values ;**
- **non-vanishing of toric periods.**

## Waldspurger's result (1/3)

For  $\xi \in F^\times$ ,

- $E = E_\xi = F[X]/(X^2 - \xi)$   
: the associated quadratic algebra over  $F$ .
- $\chi_\xi = \chi_E : \mathbb{A}_F^\times / F^\times \rightarrow \{\pm 1\}$  : the unique quadratic character  
s.t.  $\text{Ker}(\chi_\xi) = \text{N}_{E/F}(\mathbb{A}_E^\times)$ .

In particular,  $\chi_\xi = 1$  iff  $E_\xi \simeq F \times F$ .



## Waldspurger's result (2/3)

Suppose that  $D = \text{Mat}_2(F)$ .

- $\pi$  : irreducible cuspidal automorphic representation  
of  $D_{\mathbb{A}_F}^\times = \text{GL}_2(\mathbb{A}_F)$
- $\delta_v \in \mathbb{R}_{>0}$  for each  $v \in S$

### Theorem (Waldspurger '91)

If  $\varepsilon(1/2, \pi) = 1$ , then

$$\exists \xi \in F^\times \text{ s.t. } \begin{cases} \bullet & |\xi - 1|_v < \delta_v \quad \forall v \in S; \\ \bullet & L(1/2, \pi \otimes \chi_\xi) \neq 0. \end{cases}$$

## Waldspurger's result (3/3)

- This theorem plays an important role in the description of the discrete spectrum of  $L_{\text{disc}}^2(\text{Mp}_2(F)\backslash\text{Mp}_2(\mathbb{A}_F))$ .
- The original proof is based on the representation theory of  $\widetilde{\text{GL}}_2$ , which was studied by Flicker using the trace formula.
- In 1995, Friedberg and Hoffstein obtained more general results by using the technique of analytic number theory.

## result of Friedberg-Hoffstein (1/2)

- $E_0$  : quadratic extension of  $F$
- $\pi$  : irreducible cuspidal automorphic representation  
of  $\mathrm{GL}_2(\mathbb{A}_F)$

### Theorem

If  $\varepsilon(1/2, \pi \otimes \chi_{E_0}) = 1$ , then

$\exists \infty E/F$  : quad. extension    s.t.  $\left\{ \begin{array}{l} \bullet E_v = E_{0,v} \quad \forall v \in S \\ \bullet L(1/2, \pi \otimes \chi_E) \neq 0. \end{array} \right.$

## result of Friedberg-Hoffstein (2/2)

For the proof, they used a multi-variable Dirichlet series (roughly) of the form :

$$\Phi(s, z, \pi) = \sum_E \sum_{\mathfrak{a}} \frac{L(z, \pi \otimes \chi_E)}{N(\mathfrak{a})^s} \times (\text{some factor}).$$

- $E$  : quadratic extension of  $F$
- $\mathfrak{a}$  : certain ideal class of  $F$ .

The above theorem is obtained by analyzing poles at  $s = 1$ .

- $X$  : a set
- $c(x) \in \mathbb{C}, x \in X$

**Q.** How can we prove  $\#\{x \in X \mid c(x) \neq 0\} = \infty$  ?

**A technique of analytic number theory**

**Study the poles of certain Dirichlet series**

$$\Phi(X, s) = \sum_{x \in X} \sum_{\mathfrak{a}} \frac{c(x)}{N(\mathfrak{a})^s} \times (\text{some factor}).$$

**typical examples**

- Dirichlet's theorem on arithmetic progressions
- Chebotarev's density theorem

**The proof of our theorem uses this technique.**

## Friedberg-Hoffstein vs main theorem

- By using another result of Waldspurger, we can restate Friedberg-Hoffstein as an existence theorem of infinitely many non-vanishing toric periods.
- There is NO obvious implication between Friedberg-Hoffstein and our result in either direction.
- There is an explicit local condition  $(*)$  on  $\pi$  and  $S$   
s.t. Friedberg-Hoffstein +  $(*) \implies$  main theorem

## Main tool

The main tool is a Prehomogeneous zeta function, roughly of the form

$$Z(s, \phi) = \zeta_F(2s - 1) \sum_E \sum_{\mathfrak{a}} \frac{L(1, \chi_E)^2 |\mathcal{P}_E(\phi)|^2}{N(\mathfrak{a})^s} \times \mathcal{D}_E(s),$$

where

- $\phi \in \pi$ ,
- $\zeta_F$  : **Dedekind zeta function of  $F$** ,
- $\mathcal{D}_E(s)$  : **a meromorphic function**  
with a simple pole at  $s = 1$ .

Compare the order of the pole at  $s = 1$  of the both sides

$\rightsquigarrow$  the sum over  $E$  is an infinite sum

## Prehomogeneous vector space (1/2)

- $G = D^\times \times D^\times \times \mathrm{GL}_2$ ,
- $V = D \oplus D$
- $\rho : G \curvearrowright V$ ,  $F$ -rational representation, defined by

$$(x, y)\rho(g_1, g_2, g_3) = (g_1^{-1}xg_2, g_1^{-1}yg_2)g_3,$$

$$(g_1, g_2, g_3) \in G, \quad (x, y) \in V.$$

This action has a Zariski open orbit  $V_0$ .



the triple  $(G, V, \rho)$  is called a  
prehomogeneous vector space.



## Prehomogeneous vector space (2/2)

There is a bijection  $V^0(F)/G(F) \leftrightarrow X(D)$ , where

- **L.H.S** : the set of  $F$ -rational open orbits in  $V(F)$

- **R.H.S** :  $X(D) = \left\{ E \mid \begin{array}{l} \text{quad. semi-simple alg./}F \\ \text{s.t. } E \overset{\exists}{\hookrightarrow} D \end{array} \right\}$

Let  $\omega : G \rightarrow \mathbb{G}_m$  be the character given by

$$\omega((g_1, g_2, g_3)) = \det(g_1)^{-2} \det(g_2)^2 \det(g_3)^2$$
$$(g_1, g_2 \in D^\times, g_3 \in \text{GL}_2)$$

where  $\det$  : reduced norm on  $D$  or determinant on  $\text{GL}_2$

## Zeta function

We define the **zeta function** by

$$\begin{aligned} Z(\Phi, \phi, s) &= \int_{G(F)(\text{Ker } \rho)_{\mathbb{A}} \backslash G(\mathbb{A})} |\omega(g)|^s \phi(g_1) \overline{\phi(g_2)} \sum_{x \in V^0(F)} \Phi(x \cdot \rho(g)) dg, \end{aligned}$$

where

- $\phi \in \pi$ ,  $g = (g_1, g_2, g_3)$
- $\Phi$  : **Schwartz-Bruhat function on  $V(\mathbb{A})$**

### Theorem

(1) *The zeta function  $Z(\Phi, \phi, s)$  has meromorphic continuation to the whole  $s$ -plane.*

(2) *The zeta function  $Z(\Phi, \phi, s)$  satisfies a functional equation*

$$Z(\Phi, \phi, s) = Z^\vee(\widehat{\Phi}, \phi, 2 - s),$$

*where  $Z^\vee$  is the “dual zeta function” and  $\widehat{\Phi}$  is the Fourier transform of  $\Phi$ .*

(3) *The possible poles of  $Z(\Phi, \phi, s)$  are at  $s = 1/2$  and  $s = 3/2$  and both are at most simple poles.*

By the standard unfolding process, we get

$$\begin{aligned}
 Z(\Phi, \phi, s) &= \frac{1}{2} \sum_{E \in X(D)} \int_{G_{x(E)}(\mathbb{A}) \backslash G(\mathbb{A})} \mathcal{P}_E(\pi(g_1)\phi) \overline{\mathcal{P}_E(\pi(g_2)\phi)} |\omega(g)|^s \Phi(x(E)\rho(g)) dg,
 \end{aligned}$$

where

- $g = (g_1, g_2, g_3) \in G$
- $x(E)$  : a fixed representative of the  $F$ -rational open orbit which corresponds to  $E \in X(D)$
- $G_{x(E)}$  : the stabilizer of  $x(E)$

$$\cong \mathbb{G}_{m,E}$$

## Intermediate result

As a consequence, we see that

$$Z(\Phi, \phi, s) \neq 0$$

$$\Downarrow$$

$$\exists E \in X(D), \exists g \in G \text{ s.t. } \mathcal{P}_E(\pi(g)\phi) \neq 0$$

$$\Downarrow$$

$$\exists E \in X(D) \text{ s.t. } \pi : E^\times\text{-distinguished}$$

## Theorem

If  $L(1/2, \pi) \neq 0$ , then

$$\exists \Phi, \exists \phi \in \pi \text{ s.t. } Z(\Phi, \phi, s) \text{ has a simple pole at } s = 1/2.$$

In particular,  $L(1/2, \pi) \neq 0$

$$\Rightarrow \exists \phi \in \pi, \exists E \in X(D) \text{ s.t. } \mathcal{P}_E(\phi) \neq 0$$

In order to show non-vanishing of infinitely many periods, we need an **Euler factorization** of the contribution of each  $F$ -rational open orbit:

$$Z_E(\Phi, \phi, s) := \frac{1}{2} \int_{G_{x(E)}(\mathbb{A}) \backslash G(\mathbb{A})} \mathcal{P}_E(\pi(g_1)\phi) \overline{\mathcal{P}_E(\pi(g_2)\phi)} |\omega(g)|^s \Phi(x(E)\rho(g)) dg.$$

⤴ we have  $Z(\Phi, \phi, s) = \sum_{E \in X(D)} Z_E(\Phi, \phi, s).$

We want a factorization of  $Z_E(\Phi, \phi, s).$

## Waldspurger formula

For each place  $v$ , we can take  $\alpha_{E_v} \in \text{Hom}_{E_v^\times \times E_v^\times}(\pi_v \boxtimes \bar{\pi}_v, \mathbb{C}^\times)$

(an  $E_v^\times \times E_v^\times$ -invariant hermitian pairing)

so that we have the following Euler factorization:

### Theorem (Waldspurger'85)

For a factorizable  $\phi = \otimes_v \phi_v$ , we have

$$|\mathcal{P}_E(\phi)|^2 = \frac{1}{4} \cdot \frac{\zeta_F(2)L(1/2, \pi)L(1/2, \pi \otimes \chi_E)}{L(1, \pi, \text{Ad})L(1, \chi_E)} \prod_v \alpha_{E_v}(\phi_v, \phi_v).$$

Applying this formula, we get

$$Z_E(\Phi, \phi, s) = \frac{(L\text{-values})}{(\text{constant})} \times \prod_v Z_{E,v}(\Phi_v, \phi_v, s)$$

for  $\Phi = \otimes_v \Phi_v$  and  $\phi = \otimes_v \phi_v$ .

Here,  $Z_{E_v}(\Phi_v, \phi_v, s)$  is the local zeta function given by

$$\int_{G_{x(E)}(F_v) \backslash G(F_v)} \alpha_{E_v}(\pi_v(g_1)\phi_v, \pi_v(g_2)\phi_v) |\omega_v(g)|^{s-2} \Phi_v(x(E)\rho(g)) dg \\ \times (\text{constant})(\text{local } L\text{-values})$$



Computing the local zeta functions at unramified places, we see that

$$\begin{aligned}
 Z(\Phi, \phi, s) = & \\
 & \sum_{\mathcal{E}_S \in X(D_S)} \left( \prod_{v \in S} (\text{local zeta})_v \right) \sum_{E \in X(D, \mathcal{E}_S)} \frac{L(1, \chi_E)^2 |\mathcal{P}_E(\phi)|^2}{N(\mathfrak{a}_E)^{s-1}} \cdot \mathcal{D}_E^S(\pi, s) \\
 & \times \zeta_F^S(2s-1) \cdot (\text{constant})(L\text{-values})
 \end{aligned}$$

where

- $X(D_S) = \prod_{v \in S} X(D_v)$  and  $\mathcal{E}_S \in X(D_S)$  is a quad. alg. over  $F_S = \prod_{v \in S} F_v$ .
- $X(D, \mathcal{E}_S) = \{E \in X(D) \mid E_v = \mathcal{E}_v \ \forall v \in S\}$
- $\mathfrak{a}_E \subset F$  : a certain ideal
- $\mathcal{D}_E^S(\pi, s)$  is a meromorphic function.

## Proof of the main theorem (1/2)

**Assume the sum over  $X(D, \mathcal{E}_S)$  is a non-empty finite sum.**

**Then,**

- $Z(\Phi, \phi, s)$  is holomorphic at  $s = 1$ .  
     $\rightsquigarrow$  **L.H.S is holomorphic at  $s = 1$**
- $\zeta_F^S(2s - 1)$  has a simple pole at  $s = 1$ .
- $\mathcal{D}_E^S(\pi, s)$  has a simple pole at  $s = 1$ .  
     $\rightsquigarrow$  **R.H.S has a pole of order 2 at  $s = 1$ .**

**This is a contradiction.**

$\Rightarrow$  **The sum over  $X(D, \mathcal{E}_S)$  is empty or an infinite sum.**

$\Rightarrow$  **If  $\exists E \in X(D, \mathcal{E}_S)$  s.t.  $\mathcal{P}_E(\phi) \neq 0$ , then  $\exists \infty$  such  $E$ .**

## Proof of the main theorem (2/2)

**Suppose**  $L(1/2, \pi) \neq 0$ .

**Then, by the previous theorem,**  $\exists \phi \in \pi, \exists E_0 \in X(D)$   
**s.t.**  $\mathcal{P}_{E_0}(\phi) \neq 0$ .

**Set**  $\mathcal{E}_S := \prod_{v \in S} E_{0,v}$ .

**From the above argument,**  $\exists \infty E \in X(D, \mathcal{E}_S)$  **s.t.**  $\mathcal{P}_E(\phi) \neq 0$ .

**This completes the proof the main theorem.** □

In the main theorem, we do not have a control on on the local components  $\mathcal{E}_S$  of the quad. alg. at 'bad places'.

This is the reason why we did not obtain a generalization of Friedberg-Hoffstein.

What is required for extending the main theorem to cover Friedberg-Hoffstein is a close study on the functional equations of local zeta functions at 'bad places'.

## Future works (2/4)

- F. Sato '06 ... proved the local F.E. for some specific representations of  $GL_2(\mathbb{R})$  in our setting and computed the 'gamma factors' explicitly.
- Wen-Wei Li '18 ... proved the local F.E. in general case.

⇒ We get the following partial result:

### Theorem

Suppose that  $F = \mathbb{Q}$  and  $D_\infty = \text{Mat}_2(\mathbb{R})$ .

Assume that  $L(1/2, \pi) \neq 0$ .

Then,  $\exists \infty E$  : real quad. fields s.t.  $\pi$  is  $E^\times$ -distinguished.

## Future works (3/4)

∃ another direction to refine the main theorem

Analysis on the residue of  
(usual) prehomogeneous zeta functions



an explicit formula for mean values of  
class numbers

Apply this technique to  $Z(s, \Phi, \phi)$ , to get a quantitative result on non-vanishing of toric periods.

## Future works (4/4)

Suppose that  $\sum_{E \in X(D, \mathcal{E}_S)} \frac{L(1, \chi_E)^2 |\mathcal{P}_E(\phi)|^2}{N(\mathfrak{a}_E)^{s-1}} \cdot \mathcal{D}_E^S(\pi, s)$  has a simple pole at  $s = 3/2$ .

Applying a theorem of Tauberian type, we might be able to get the following density theorem:

### Density Theorem

$$\lim_{t \rightarrow \infty} t^{-\frac{1}{2}} \sum_{\substack{E \in X(D, \mathcal{E}_S) \\ N(\mathfrak{a}_E) \leq t}} L(1, \chi_E)^2 |\mathcal{P}_E(\phi)|^2 = \left( \begin{array}{c} \text{the residue of} \\ \text{the above series} \\ \text{at } s = 3/2 \end{array} \right)$$

R.H.S will be written as a Godement-Jacquet zeta integral.

**Thank you for your attention.**