

On the Takeda–Wood isomorphism for Hecke algebras and an intertwining relation

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Wen-Wei Li

Peking University

Joint with Fei Chen (PKU).

References

-  C. Bushnell and P. Kutzko, *Smooth representations of reductive p -adic groups: structure theory via types*, Proc. LMS, (3) 77 (1998).
-  W. T. Gan and G. Savin, *Representations of metaplectic groups II: Hecke algebra correspondences*, Represent. Theory, 16 (2012).
-  S. Takeda and A. Wood. *Hecke algebra correspondences for the metaplectic group*. Transactions of the AMS, 370 (2018).

Setting

- $\varpi \in \mathfrak{o} \subset F$: uniformizer of the ring of integers of a non-Archimedean local field;
- $\text{char}(F) \neq 2$, and p denotes the characteristic of $\mathfrak{o}/\varpi \simeq \mathbb{F}_q$.

We are interested in *smooth representations* over \mathbb{C} of connected reductive groups over F (or their coverings). Specifically:

- $(W, \langle \cdot | \cdot \rangle)$: symplectic F -vector space.
- $G := \text{Sp}(W)$: the symplectic group — $G(F)$ is a locally profinite topological group.
- $\widetilde{\text{Sp}}^{(2)}(W)$: the unique nontrivial twofold cover of $\text{Sp}(W)$ (the METAPLECTIC GROUP).

More conveniently: the **eightfold** covering

$$1 \rightarrow \mu_8 \rightarrow \widetilde{\text{Sp}}(W) \rightarrow \text{Sp}(W) \rightarrow 1, \quad \mu_8 := \{z \in \mathbb{C}^\times : z^8 = 1\}.$$

The metaplectic group $\tilde{G} := \widetilde{\mathrm{Sp}}(W)$ is explicitly described using

- a symplectic basis $e_1, \dots, e_n, f_n, \dots, f_1$ of W ;
- an unitary additive character $\psi : F \rightarrow \mathbb{C}^\times$, trivial on $4 \cdot \mathfrak{o}$ but nontrivial on larger ideals.

It carries the *Weil representation* $\omega_\psi = \omega_\psi^+ \oplus \omega_\psi^-$ realized on the Schwartz space $\mathcal{S}(\bigoplus_{i=1}^n Fe_i)$.

We are interested in *genuine representations*, i.e. smooth representations of \tilde{G} such that μ_8 acts by $\mu_8 \hookrightarrow \mathbb{C}^\times$, such as ω_ψ^\pm .

Our normalization

The ω_ψ is constructed using the Heisenberg group $H(W) := W \times F$ with

$$(x, s)(y, t) = (x + y, s + t + \langle x|y \rangle).$$

Relevance of the covering \tilde{G}

To mention a few:

- There are Archimedean and global avatars of \tilde{G} .
- Θ -lifts, Fourier–Jacobi models, etc.
- As a key example of *covering groups*, studied by Matsumoto, ..., Deligne, Weissman, Fan Gao, Gaitsgory–Lysenko, et al.
- In particular, \tilde{G} serves as a testing ground for *Langlands program* for coverings (Weissman).

Long-term goal

In some sense, \tilde{G} is “close to” being a classical group. Can we achieve an *endoscopic classification* for genuine representations of \tilde{G} à la Arthur, at least when $\text{char}(F) = 0$?

- **Good news # 1:** In the global case with $\text{char} = 0$, the *stable trace formula* for \tilde{G} is now available.
- **Bad (?) news:** An extra difficulty for covering groups —

Things get wild when F is dyadic (i.e. $p = 2$).

For example, $\tilde{G} \twoheadrightarrow G(F)$ is no longer split over $G(\mathfrak{o})$.

Good news # 2

Some “vector-valued” variants for \tilde{G} of the Iwahori–Hecke algebras can be handled.

- $p \neq 2$: Gan–Savin (2012).
- $p = 2$: Takeda–Wood (2018)

This is achieved by studying suitable TYPES of ω_{ψ}^{\pm} .

Lattices and compact open subgroups

$$\mathcal{L}_i := \bigoplus_{j=1}^n \mathfrak{o}e_j \oplus \bigoplus_{1 \leq j \leq i} \mathfrak{w}\mathfrak{o}f_j \oplus \bigoplus_{j>i} \mathfrak{o}f_j \subset W,$$

$$K_i := \text{Stab}_{G(F)}(\mathcal{L}_i), \quad i = 0, \dots, n.$$

- $K_i = \text{Stab}_{G(F)}(z_i)$ where z_0, \dots, z_n are the vertices of the standard alcove of the affine building, with preimages $\tilde{K}_i \subset \tilde{G}$;
- K_0, \dots, K_n represent the maximal open subgroups, up to conjugacy;
- K_0 is the standard hyperspecial subgroup;
- $I := \bigcap_i K_i$ is the standard Iwahori subgroup;
- $J := \bigcap_{i \neq 0} K_i$.

The structures of I and J are accessible via Bruhat–Tits theory.

The types

For $0 \leq i \leq n$, we have the \tilde{K}_i -submodules of $\omega_\psi^\pm|_{\tilde{K}_i}$:

$$\tau_i^\pm = \bigotimes_{j < i} \mathcal{S}(\mathfrak{of}_j/2\omega\mathfrak{of}_j)^\pm \otimes \bigotimes_{j \geq i} \mathcal{S}(\mathfrak{of}_j/2\mathfrak{of}_j),$$

$$\dim \tau_i^\pm = \frac{1}{2} q^{en} (q^i \pm 1), \quad e := \begin{cases} e(F|\mathbb{Q}_2), & p = 2 \\ 0, & p \neq 2. \end{cases}$$

We will mainly focus on

- $\tau_0 = \tau_0^+$: as an \tilde{I} -module.
- τ_1^- : an \tilde{J} -module, noting that $J = I \sqcup Is_0I$, with s_0 being the simple affine reflection.

These representations have a reasonably concrete description via Schrödinger's model for ω_ψ^\pm .

Hecke algebras in general

General reference: Bushnell–Kutzko (1997)

R : locally profinite group, K : compact open subgroup, (τ, V_τ) : finite-dimensional K -module.

$$\mathcal{H}(R//K; \tau) := \left\{ f : R \rightarrow \text{End}_{\mathbb{C}}(V_\tau^\vee), C_c^\infty, f(k_1 r k_2) = \check{\tau}(k_1) f(r) \check{\tau}(k_2) \right\}.$$

It is an algebra under convolution. For each smooth R -representation π , the space

$$\mathbf{M}_\tau(\pi) := \text{Hom}_K(\tau, \pi) \simeq (V_\tau^\vee \otimes V_\pi)^K :$$

is functorially a left $\mathcal{H}(R//K; \tau)$ -module.

The goal of Type Theory. Realize each Bernstein component as a category of $\mathcal{H}(R//K; \tau)$ -modules, by constructing (K, τ) .

Consequence of Takeda–Wood isomorphism — preview

Let \mathcal{G}_{ψ}^{\pm} be the Bernstein component (a subcategory of the category of smooth genuine \tilde{G} -representations) containing ω_{ψ}^{\pm} .

Define

$$H_{\psi}^{+} := \mathcal{H}(\tilde{G} // \tilde{I}; \tau_0), \quad H_{\psi}^{-} := \mathcal{H}(\tilde{G} // \tilde{J}; \tau_1^{-}).$$

Theorem (Gan–Savin for $p \neq 2$, Takeda–Wood for all p)

The functors \mathbf{M}_{τ_0} and $\mathbf{M}_{\tau_1^{-}}$ induce equivalences

$$\mathcal{G}_{\psi}^{\pm} \xrightarrow{\sim} H_{\psi}^{\pm}\text{-Mod.}$$

In fact, H_{ψ}^{+} is an analogue for \tilde{G} of the Iwahori–Hecke algebra.

Takeda–Wood isomorphism

- Let G^+ be the split $\mathrm{SO}(2n + 1)$ and G^- its non-split inner form.
- Let H^\pm be the Iwahori–Hecke algebra of G^\pm .

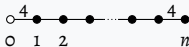
The isomorphism for H_ψ^+ is obtained as follows (modulo technicalities).

1. Construct $T_0, \dots, T_n \in H_\psi^+$ with $\mathrm{Supp}(T_i) = \tilde{I}s_i\tilde{I}$ where s_i are the generators of the affine Weyl group of G ;
2. Prove the braid and quadratic relations for them (see the next page);
3. Compare with the usual presentation of H^+ .

The same idea applies to H_ψ^- .

Affine Coxeter diagrams and quadratic relations

- For H_{Ψ}^{+} :



Generators: T_0, \dots, T_n . Quadratic relations:

$$T_0^2 - 1 = 0 = (T_i - q)(T_i + 1), \quad 0 < i \leq n.$$

- For H_{Ψ}^{-} :



Generators: T_1, \dots, T_n . Quadratic relations:

$$(T_1 + 1)(T_1 - q^2) = 0 = (T_i + 1)(T_i - q), \quad 1 < i \leq n.$$

The braid relations can be read off from the diagrams above.

Theorem (Gan–Savin for $p \neq 2$, Takeda–Wood for all p)

This recipe yields isomorphisms

$$\text{TW} : H_{\psi}^{\pm} \xrightarrow{\sim} H^{\pm}$$

between Hilbert \mathbb{C} -algebras with involution.

- Hilbert structure: connected to unitary structures in representation theory (eg. Plancherel formula).
- The steps 1 and 2 are largely combinatorial.
- The Weil representations ω_{ψ}^{\pm} are critical analytic ingredients in the proof. In fact, they are needed for defining H_{ψ}^{\pm} .

Corollaries

1. Equivalence between \mathcal{G}_{ψ}^{\pm} and the counterparts for G^{\pm} .
2. Preservation of Harish-Chandra μ -functions (= Plancherel densities), up to a precise constant.

Our task

To study/compare via the Takeda–Wood isomorphism: Jacquet functor, parabolic induction and intertwining operators.

Fact

The (semi-)standard Levi subgroups of \tilde{G} split via Schrödinger's model

$$\tilde{M} = \widetilde{\mathrm{Sp}}(W^b) \times \prod_{i \in I} \mathrm{GL}(n_i, F) \quad \text{in the 8-fold covering}$$

where W^b is a non-degenerate symplectic subspace of W . The avatars on \tilde{M} of τ_i^\pm can thus be defined as

$$\tau_i^{\tilde{M}, \pm} := \underbrace{\tau_i^{b, \pm}}_{\text{for } \widetilde{\mathrm{Sp}}(W^b)} \otimes \bigotimes_{i \in I} \mathbf{1},$$

using the standard Iwahori subgroups for each factor.

Difficulties

Let T be the maximal torus of G defined from the chosen symplectic basis. Two Borel subgroups $B^{\rightarrow} \supset T \subset B^{\leftarrow}$:

$$B^{\rightarrow}\text{-simple roots} = \epsilon_1 - \epsilon_2, \dots, 2\epsilon_n,$$

$$B^{\leftarrow}\text{-simple roots} = 2\epsilon_1, \dots, \epsilon_n - \epsilon_{n-1}.$$

- Take parabolic subgroups $P = MU$ with $P \supset B^{\leftarrow}$ and $M \supset T$.
- Define Iwahori subgroups and the τ_i^{\pm} using B^{\rightarrow} -simple roots. Only in this way could $\tau_i^{\tilde{M}, \pm}$ be “aligned” with τ_i^{\pm} .
- Caution: $(\tilde{I}, \tau_{\circ})$ is **not a cover** of $(\tilde{I} \cap \tilde{M}, \tau_{\circ}^{\tilde{M}})$ if $p = 2$; same for (\tilde{J}, τ_1^-) .
 - We have to compare H_{ψ}^{\pm} and $H_{\psi}^{\tilde{M}, \pm}$.
 - Tools from Bushnell–Kutzko are not directly available here.

Coinvariants

Let $P = MU$ be parabolic with $P \supset B^{\leftarrow}$ and $M \supset T$. **Fact:** (read Bruhat-Tits!)

$$\text{Iwahori decomposition} \quad I = I_U I_M I_{U^-}$$

holds with $I_M := I \cap M(F)$ (still an Iwahori subgroup), etc.

Definition of coinvariants

For every \tilde{I} -module τ , let $\tau_U := \tau / \sum_{u \in I_U} \text{im}(\tau(u) - \text{id})$, as an \tilde{I}_M -module.

Proposition

There is an explicit isomorphism of \tilde{I}_M -modules $\tau_{0,U} \simeq \tau_0^{\tilde{M}}$. Ditto for τ_1^- provided that $\dim W^{\flat} \geq 1$.

The proof is similar to the computation of coinvariants of ω_{ψ}^{\pm} .

Let $r_{\tilde{M}}$ be the normalized Jacquet functor from \tilde{G} to \tilde{M} . It is the functor of U -coinvariants twisted by $\delta_p^{-1/2}$.

For $\tau \in \{\tau_0, \tau_1^-\}$ and a smooth \tilde{G} -representation π , there is a functorial linear map

$$\mathbf{q} : \mathbf{M}_\tau(\pi) \rightarrow \mathbf{M}_{\tau\tilde{M}}(r_{\tilde{M}}(\pi)).$$

Define a homomorphism t_{nor} of Hilbert \mathbb{C} -algebras with involution

$$H_{\Psi}^{\tilde{M}, \pm} \xrightarrow[\sim]{\text{TW}} H^{M^{\pm}} \xrightarrow{t_{\text{nor}}^{\pm}} H^{\pm} \xrightarrow[\sim]{\text{TW}^{-1}} H_{\Psi}^{\pm},$$

- $H^{M^{\pm}}$ are the counterparts of $H_{\Psi}^{\tilde{M}, \pm}$;
- TW means the Takeda–Wood isomorphism for \tilde{M} and \tilde{G} , identity on GL-factors of \tilde{M} ;
- t_{nor}^{\pm} is from Bushnell–Kutzko (involving $\delta_{p^{\pm}}^{1/2}$).

Compatibility

Let $\tau \in \{\tau_0, \tau_1^-\}$. Our first goal is the following

Theorem

The linear map $\mathbf{q} : \mathbf{M}_\tau(\pi) \rightarrow \mathbf{M}_{\tau_{\tilde{M}}}(r_{\tilde{M}}(\pi))$ is bijective, equivariant with respect to $t_{\text{nor}} : H_{\Psi}^{\tilde{M}, \pm} \rightarrow H_{\Psi}^{\pm}$.

- The counterpart for Iwahori–Hecke algebras is in Bushnell–Kutzko.
- Bijectivity follows easily from the work of Takeda–Wood. The point is to show equivariance.
- The assertion is that t_{nor} mirrors normalized Jacquet functor on the level of Hecke modules.
- By adjunction, $\text{Hom}_{H_{\Psi}^{\tilde{M}, \pm}}(H_{\Psi}^{\pm}, \cdot)$ then mirrors normalized parabolic induction.

Sketch of the proof

- In the setting of Iwahori–Hecke algebras for linear groups, the proof goes by “aligning” M -supported and P -positive elements in the Hecke algebras via an avatar of \mathfrak{q} .
- Based on results from Takeda–Wood, we can obtain similar partial results for metaplectic groups (involving $\delta_P^{1/2}$).
- Must compare the recipe above with t_{nor} .

Strategy

Determine the constants of proportionality by computing the Hecke modules attached to ω_{Ψ}^{\pm} and to its Jacquet modules, plus routine manipulations.

- $\omega_{\Psi}^{\pm} \leftrightarrow$ the trivial H_{Ψ}^{\pm} -module;
- the Jacquet modules of ω_{Ψ}^{\pm} : well-known.

Unramified principal series

Consider $T \subset B^{\leftarrow}$. Split \tilde{T} using Schrödinger's model in the 8-fold covering. In this way, characters of $T(F)$ and \tilde{T} are identified. Same for subtori of T .

- $I(\chi) := \text{Ind}_{\tilde{B}^{\leftarrow}}^{\tilde{G}} (\chi \otimes \delta_{B^{\leftarrow}}^{1/2})$, where χ : unramified character of $T(F)$.
- $J(\chi) := \text{Ind}_P^{\tilde{G}} ((\omega_\psi^b \boxtimes \chi) \otimes \delta_P^{1/2})$, where $P = M_P U_P \supset B^{\leftarrow}$ with

$$M_P = \text{Sp}(2) \times \text{GL}(1)^{n-1},$$

ω_ψ^b : Weil representation of $\text{Sp}(2)$,

χ : unramified character of $\text{GL}(1, F)^{n-1}$.

$$\mathbf{M}_{\tau_o}(I(\chi)) \xrightarrow{\sim} \text{Hom}_{\mathbb{C}[X_*(T)]}(H_\psi^+, \chi).$$

It maps $f : \tau_o \rightarrow I(\chi)$ to the homomorphism $h \mapsto (hf)(\phi)(1_{\tilde{G}})$ where $\phi \in V_{\tau_o} = S(\bigoplus_j \mathfrak{o}/2\mathfrak{o}f_j)$ satisfies $\phi(\mathfrak{o}, \dots, \mathfrak{o}) = 1$.

There is a similar description of $\mathbf{M}_{\tau_1^-}(J(\chi))$.

Standard intertwining operators

- Irreducibles in \mathcal{G}_ψ^+ (resp. \mathcal{G}_ψ^-) are precisely the subquotients of $I(\chi)$ (resp. $J(\chi)$). The case for G^\pm is well-known.
- The inducing characters χ from both sides can be matched.
- Having known that TW respects normalized parabolic induction, one should ask:

Do the standard intertwining operators match?

- Denote by U the unipotent radical of B^{\leftarrow} or P .

$$\begin{aligned} A(w, \chi) : I(\chi) &\rightarrow I(w\chi), & w \in \text{type } B_n \text{ Weyl group,} \\ J(\chi) &\rightarrow J(w\chi), & w \in \text{type } B_{n-1} \text{ Weyl group.} \end{aligned}$$

They are defined by $\int_{U^- \cap U^w \setminus U^w(F)} + \text{rational continuation.}$

In contrast with the case of connected reductive groups, $A(w, \chi)$ requires sensible choice of representatives $\dot{w} \in \tilde{G}$.

- Choose representatives in \tilde{G} for each simple (with respect to B^{\leftarrow}) reflection s_i , with corresponding root α_i , as

$$\dot{w}_i := x_{\alpha_i}(1)x_{-\alpha_i}(-1)x_{\alpha_i}(1)$$

where $x_{\alpha_i} : F \rightarrow U_{\alpha_i}(F) \subset G(F)$ lifts canonically to \tilde{G}

- The representative of the long reflection is then rescaled to act as Fourier transform via ω_ψ .

Proposition (Gan–L., 2018)

These representatives satisfy the braid relation. We can thus define $\dot{w} \in \tilde{G}$ for general w , using any reduced expression.

Spherical projectors

Recall the maximal compact open subgroups $K_i \subset G(F)$ for $i = 0, \dots, n$. Define the idempotents

$$H_{\Psi}^{+} \ni e_{\Psi}^{+}(x) := \begin{cases} \frac{1}{\text{vol}(\tilde{K}_0)} \check{\tau}_0(x), & x \in \tilde{K}_0 \\ 0, & x \notin \tilde{K}_0. \end{cases}$$

$$H_{\Psi}^{-} \ni e_{\Psi}^{-}(x) := \begin{cases} \frac{1}{\text{vol}(\tilde{K}_1)} \check{\tau}_1^{-}(x), & x \in \tilde{K}_1 \\ 0, & x \notin \tilde{K}_1. \end{cases}$$

Lemma

They match the spherical idempotents e^{\pm} in the Iwahori–Hecke algebras H^{\pm} under TW.

As before, we use properties of ω_{Ψ}^{\pm} to check this.

Multiplicity-one results:

Lemma

For all χ , the space $e_{\psi}^{+} \mathbf{M}_{\tau_0}(I(\chi))$ is 1-dimensional with generator

$$\sigma_{\psi, \chi}^{+} : \phi \mapsto [k \mapsto k\phi(0, \dots, 0)], \quad \phi \in V_{\tau_0}, k \in \tilde{K}_0.$$

Lemma

For all χ , the space $e_{\psi}^{-} \mathbf{M}_{\tau_1}(J(\chi))$ is 1-dimensional with generator

$$\sigma_{\psi, \chi}^{-} : \phi \mapsto [k \mapsto k\phi(\cdot, 0, \dots, 0)], \quad \phi \in V_{\tau_1}, k \in \tilde{K}_1.$$

Observe that $e_{\psi}^{+} \mathbf{M}_{\tau_0}(\cdot) = \text{Hom}_{\tilde{K}_0}(\tau_0, \cdot)$ (same for $e_{\psi}^{-} \dots$).

The proof of Lemmas makes use of the computation of coinvariants and Frobenius reciprocity.

Identity of intertwining operators

Suppose χ is an unramified character.

- Let $I^+(\chi)$ (resp. $I^-(\chi)$) denote the principal series of G^+ (resp. G^-) induced from χ . Their spherical parts: $\mathbb{C}\sigma_\chi^\pm$.
- On the level of Hecke modules, they can be identified with $\mathbf{M}_{\tau_0}(I(\chi))$ and $\mathbf{M}_{\tau_1^-}(J(\chi))$ via TW.

Question (recalled)

Do the standard intertwining operators $A(w, \chi)$ match up to an explicit constant? (depends on measures of unipotent radicals)

Strategy

A priori, they differ by a rational function in χ . The function can be determined by comparing the images of $\sigma_{\psi, \chi}^\pm$ and σ_χ^\pm .

A vector-valued Gindikin–Karpelevich formula for \tilde{G}

Let w be a Weyl group element (type B_n if $+$, type B_{n-1} if $-$).

Theorem

As rational functions in χ , we have

$$\frac{A(w, \chi) \sigma_{\psi, \chi}^{\pm}}{\sigma_{\psi, w\chi}^{\pm}} = |2|_F^{d(w)/2} \frac{A^{G^{\pm}}(w, \chi) \sigma_{\chi}^{\pm}}{\sigma_{w\chi}^{\pm}}$$

where $d(w)$ is the number of long roots flipped by w . (Measures: to be specified)

Corollary

Under the isomorphism TW, $A(w, \chi)$ matches $|2|_F^{d(w)/2} A^{G^{\pm}}(w, \chi)$.

Reduction

In the $+$ case, the multiplicativity of $A(w, \chi)$ reduces the problem to rank 1.

- $G = \mathrm{Sp}(2) \simeq \mathrm{SL}(2)$,
- $G^+ \simeq \mathrm{PGL}(2)$,
- w represented by $\begin{pmatrix} & 1 \\ -1 & \end{pmatrix}$,
- χ : unramified character of F^\times ,
- $z := \chi(\varpi)$, $|z| < 1$ (i.e. in the range of convergence).

Reason: Every reflection using short roots occurs in some copy of GL shared by G and G^\pm .

We are led to study an explicit p -adic integral over $\begin{pmatrix} 1 & \\ t & 1 \end{pmatrix}$ with $|t| \geq 1$.

Measure: $\mathrm{vol}(\mathfrak{o}) = 1$.

End of the proof of Gindikin–Karpelevich formula

RHS of the Theorem is evaluated by the usual GK formula for $\mathrm{PGL}(2)$:

$$A^{G^+}(w, \chi) \sigma_{\chi}^+ = \frac{1 - q^{-1}z}{1 - z} \sigma_{w\chi}^+.$$

We are ultimately led to the technical calculation

$$\int_{\mathfrak{o}^\times} \gamma_{\psi}(2\varpi^{-k}a) da = \begin{cases} (1 - q^{-1})|2|_F^{1/2}, & k : \text{even}, \\ 0, & k : \text{odd} \end{cases}$$

where we put the Haar measure on F with $\mathrm{vol}(\mathfrak{o}) = 1$, and γ_{ψ} is the *Weil index*:

$$\gamma_{\psi}(b) = \mathrm{PV} \int_F \psi\left(\frac{bx^2}{2}\right) d_{\mathrm{selfdual}}x.$$

Remark. There exists a less computational approach via μ -functions.

About the — case

In the — case, we are reduced to the rank 2 case.

- Explicit computations are no longer amenable in $\widetilde{\mathrm{Sp}}(4)$.
- Fortunately, we can avoid (most) p -adic integrals by using the matching of Harish-Chandra μ -functions by Takeda–Wood.
- However, the choice of Haar measures is different in this case.

Prospects

The applications below are most interesting when F is dyadic.

1. Reducibility of normalized parabolic induction is preserved by TW. This implies that TW preserves tempered L -parameters, without using any Θ -lift.
2. Matching of standard intertwining operators from arbitrary $P \supset B^{\leftarrow}$ — reduce to the minimal case we addressed.
3. TW preserves Aubert involution (as a functor).

There are also potential applications to Arthur's *local intertwining relations* for \tilde{G} . It serves as the original impetus of this work.

Cf. the Chapter 7 of



J. Arthur, *The endoscopic classification of representations*, AMS. Coll. 61 (2013).

Thanks for your attention